

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2593000
<b>Application Number:</b>	10042371
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6761
<b>Title of Invention:</b>	Systems and methods for creating and trading dynamic securities
<b>First Named Inventor/Applicant Name:</b>	Andrew C. Gilbert
<b>Customer Number:</b>	64558
<b>Filer:</b>	Glen Farbanish/Laura Alto
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	CF-46
<b>Receipt Date:</b>	14-DEC-2007
<b>Filing Date:</b>	27-DEC-2001
<b>Time Stamp:</b>	14:51:54
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	01-1046_071214_IDS.pdf	22033 ac3113c3be74f5b2cod120da514668590fd6c247	no	2

### Warnings:

### Information:

2	Information Disclosure Statement (IDS) Filed	01-1046_071214_1449.pdf	42334	no	2
			eea04030144732087b89f98f7dd9ee4eb29a6512		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	WO9849639.pdf	1658655	no	58
			4343968c6478ca1ae5ed82c1ca3209cb8c2d1fe5		
Warnings:					
Information:					
4	Foreign Reference	WO9901983.pdf	1511577	no	30
			73563e2fc19f1768fda97f3b058dbd03e8b197c7		
Warnings:					
Information:					
5	Foreign Reference	WO0026745.pdf	2327528	no	63
			6227a6b7f05d160f3e66f9025ef133c4e92255faf		
Warnings:					
Information:					
6	NPL Documents	USPTO_OA_8-8-06.pdf	203000	no	6
			125c35a6eeb6791ab1c8711fbd8c8cf9adc30767		
Warnings:					
Information:					
7	NPL Documents	USPTO_OA_5-1-07.pdf	265659	no	8
			88adb189e13879d91077ecb6856fe4be14635674		
Warnings:					
Information:					
8	NPL Documents	USPTO_OA_11-16-07.pdf	368342	no	10
			e149cfe7c7ba650caa152f9c3901b3b06476074		
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9	NPL Documents	Bureau_of_the_Public_Debt.pdf	103548	no	2
			b95ecdbfcf3380863ff41e3712eed6b2d101e175		
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Information:					
10	NPL Documents	2001_SEC_LEXIS_2363.pdf	1555086	no	20
			2b490b3f938476efdb4e590331eca5afb477596		

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11	NPL Documents	1999_SEC_No-Act_LEXIS_737.pdf	753743	no	9
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12	NPL Documents	1997_SEC_No-Act_LEXIS_326.pdf	542577	no	7
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13	NPL Documents	1996_SEC_No-Act_LEXIS_865.pdf	404468	no	6
			d853097907ee8e129919ac1b93e3fced2994a1f7		
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14	NPL Documents	1993_SEC_No-Act_LEXIS_435.pdf	1009551	no	13
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15	NPL Documents	1992_SEC_No-Act_LEXIS_844.pdf	800703	no	11
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17	NPL Documents	1990_SEC_No-Act_LEXIS_1136.pdf	1037972	no	13
			19f71146e136bbbc6373e4643cf120b6de95fa8d		
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18	NPL Documents	1988_SEC_No-Act_LEXIS_456.pdf	884997	no	11
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19	NPL Documents	1980_SEC_No-Act_LEXIS_3589.pdf	327073	no	5
			08f9ce987b69b49620df9db2f70e6da3bb1fb04a		

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20	NPL Documents	SPDR_Trust_Series_1.pdf	4015148 f9e9a921631d1d6c26d8c546758ae1af a18f0fa5	no	55
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21	NPL Documents	Jinny_St_Goar_Weaving_W EBs_and_Baskets.pdf	229604 6da222eebbf8943b275b0d8167d936ce d2b0c6cf	no	6
<b>Warnings:</b>					
<b>Information:</b>					
22	NPL Documents	Dictionary_of_Finance_and Investment_Terms.pdf	351002 bd4c4005b4b20a514108c2e3e4f41494 88ce2978	no	4
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			18926859		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					